

**Part 2B of Form ADV: Rochester Investments Brochure Supplement Item 1 – Cover Page**

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This Brochure Supplement provides information about Diana Apostolova that supplements the Rochester Investments Brochure. You should have received a copy of that Brochure. Please contact Diana Apostolova, President, Rochester Investments Inc. if you did not receive the Brochure or if you have any questions about the contents of this supplement.

## **Diana Apostolova**

Diana Apostolova provides investment advice and financial planning services, and has direct client contact and/or has discretionary authority of a client's assets on behalf of Rochester Investments Inc.

### **Item 2 – Educational Background and Business Experience**

Diana Apostolova founded Rochester Investments Inc. in 2019 and is the firm's President and Chief Investment Officer. She was born in 1969. Diana earned a Bachelor degree in Economics, Banking and Finance from the University of Malta in 1999, and received a Masters degree in Financial Economics from the University of London in 2002. She earned the Designation of Retirement Planning Specialist from the Wharton School of the University of Pennsylvania in 2017.

Diana has about 20 years combined financial experience, working with First Private Bank, Disbank, JPMorgan Chase Bank, Rochester Institute of Technology, AXA Advisors, and Cadaret, Grant Broker-dealer, in the areas of accounting, taxes, trusts including charitable trusts, estates, municipal bonds, investment management and financial planning.

Diana has taken special education on Social Security and Medicare among other financial and technical matters as related to client's financial planning and investment management.

Diana is frequently quoted in the 55+ Magazine published in Rochester, NY, among other publications.

### **Item 3 – Disciplinary Information**

None

### **Item 4 – Other Business Activities**

Diana owns a rental property located at 94 Little Creek Dr, Rochester, NY 14616. She receives rent payments from tenants living in the property.

Diana is contracted with Jurs Montgomery to provide life, disability income and long term insurance.

Diana prepares income tax returns to select individual clients.

### **Item 5 – Additional Compensation**

None

### **Item 6 – Supervision**

A supervisory relationship exists between Diana and the Investment Advisor Representatives (IARs) contacted with Rochester Investments to provide advisory services. Diana is responsible for all supervision activities in relationship to IARs.

### **Item 7- Requirements for State Registered Advisors**

N/A